



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
 Takeshi FUKUDA et al. )  
 Serial No.: Not yet assigned ) Group Art Unit: Not assigned  
 Filed: April 16, 2001 ) Examiner: Not assigned

For: FLAKE-LIKE  $\alpha$ -ALUMINA PARTICLES AND METHOD FOR PRODUCING THE SAME

**Assistant Commissioner for Patents  
 Washington, DC 20231**

Sir:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the Examiner's attention the documents listed on attached Form PTO-1449. Copies of the listed documents are attached. Applicants respectfully request that the Examiner consider the documents listed on attached Form PTO-1449 and indicate that they were considered by making an appropriate notation on this form.

This Information Disclosure Statement is being filed with the above-referenced application.

The following are listed on the accompanying PTO-1449 and are in a non-English language:

1. Japanese Patent Application No. 5-17132. The relevance of this document is discussed on page 2 of the specification of the present patent application. The relevance of this document is also discussed in corresponding U.S. Patent Nos. 6,080,380 and 5,587,010, copies of which are also enclosed.

2. Japanese Patent Application No. 35-6977. The relevance of this document is discussed on page 1 of the specification of the present patent application. Also in lieu

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of a statement of relevance or translation of this non-English language document, an English-language abstract of the document is enclosed.

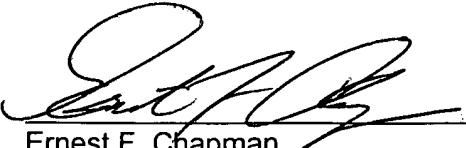
This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:   
Ernest F. Chapman  
Reg. No. 25,961

Dated: April 16, 2001

Enclosures  
RDT/FPD/dvz

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